

# Brain Repair After Stroke

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# Disclosures

Dr. Cramer serves as a consultant for MicroTransponder, Dart Neuroscience, Neurolutions, Regenera, Abbvie, SanBio, and TRCare.

# Main points

- Spontaneous recovery after stroke
- Therapies to improve recovery--brain repair
- Variability in response to restorative stroke therapies

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# Molecular and cellular events underlying stroke recovery

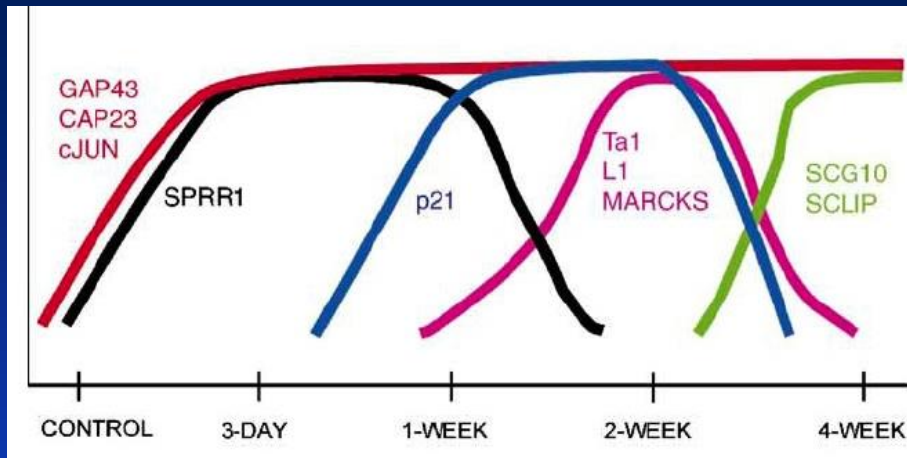
## Ipsilesional changes

- ↑ inflammatory markers
- ↑ growth-associated proteins
- ↑ cell cycle proteins
- ↑ growth factors
- GABA receptor downregulation
- ↑ NMDA receptor binding
- angiogenesis
- hyperexcitability & facilitation of LTP
- synaptogenesis
- ↑ dendrite branching/spine density
- ↑ neuronal sprouting
- extracellular matrix remodelling
- ↑ cortical thickness

## Contralesional changes

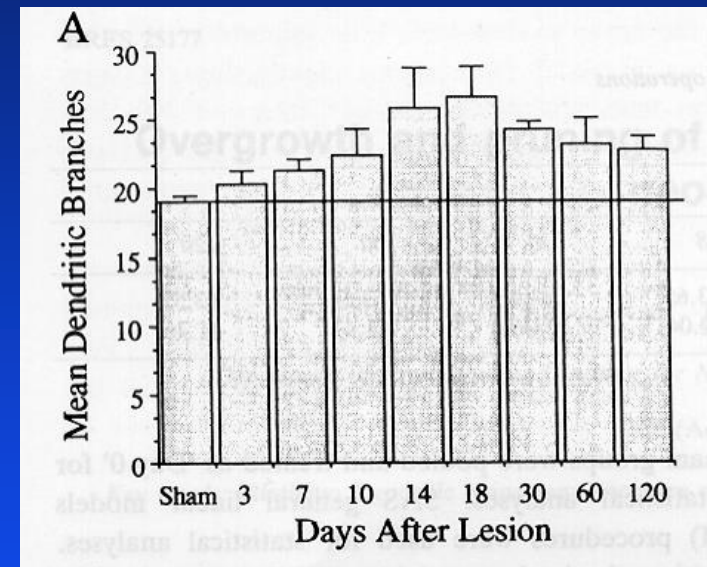
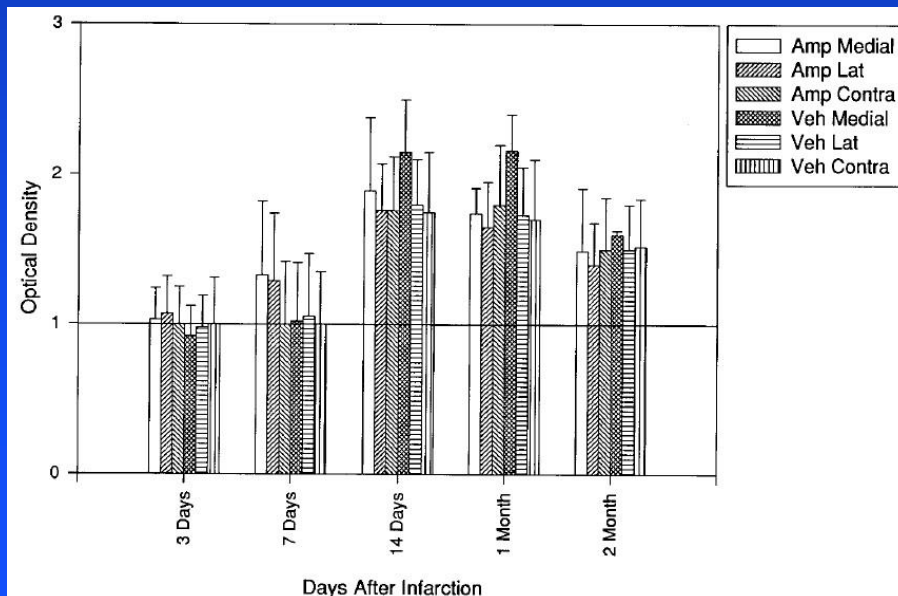
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# Molecular/cellular changes: temporal course



Growth-promoting genes

*Li & Carmichael, Neurobiol Dis; 23:362*



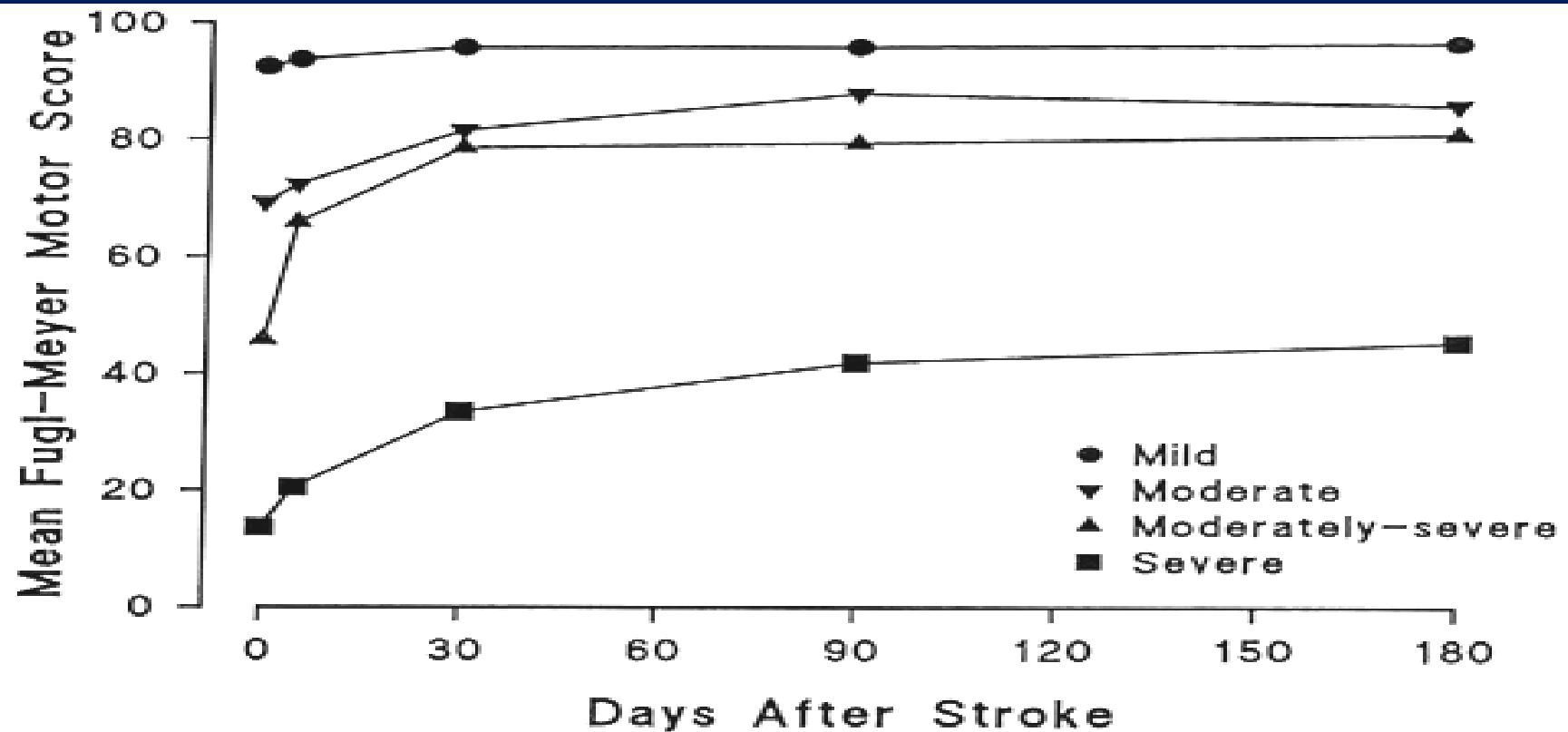
Increased dendrite branches

*Jones & Schallert, Brain Res 581:156*

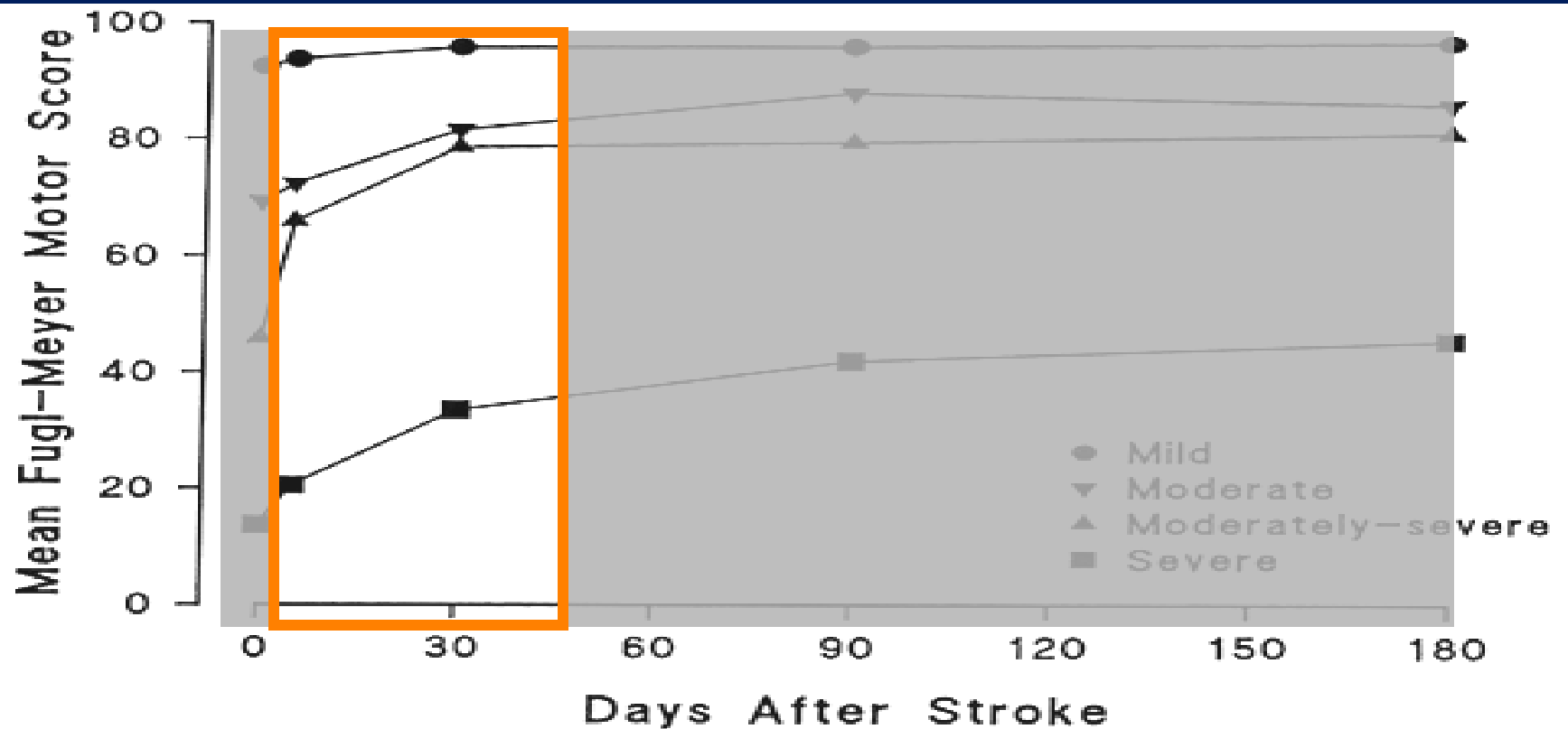
Increased synaptogenesis

*Stroemer et al, Stroke; 29:2381*

# Molecular/cellular changes: temporal course



# Molecular/cellular changes: temporal window



Reperfusion,  
neuroprotection

Endogenous repair  
mechanisms at peak

Brain is galvanized for  
recovery

Neural repair remains  
accessible, but with dampened  
magnitude



# Main points

- Spontaneous recovery after stroke
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# Brain repair: a definition

Brain repair: restoring brain structure or function after injury

# Potential human restorative therapies

- **Small molecules** eg, SSRIs, amphetamine, levodopa, niacin, memantine, etc
- **Growth factors** eg, EPO, hCG, G-CSF, b-FGF, OP-1, etc
- **Monoclonal Ab**, other large molecules eg, anti-MAG Ab
- **Stem cells**
- **Brain stimulation** eg, TMS, tDCS, tACS, epidural stim, deep brain stim; vagal nerve stim
- **Telemedicine**
- **Intensive physiotherapy, robotics, other training**
- **Lesion bypass** eg, BCI, nerve transfer
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# Fluoxetine for motor recovery after acute ischaemic stroke (FLAME): a randomised placebo-controlled trial



François Chollet, Jean Tardy, Jean-François Albucher, Claire Thalamas, Emilie Berard, Catherine Lamy, Yannick Bejot, Sandrine Deltour, Assia Jaillard, Philippe Nidot, Benoit Guillon, Thierry Moulin, Philippe Marque, Jérémie Pariente, Catherine Arnaud, Isabelle Loubinoux

## Summary

**Background** Hemiplegia and hemiparesis are the most common deficits caused by stroke. A few small clinical trials *Lancet Neurol* 2011; 10: 123–30

Double-blind, placebo-controlled trial of 118 patients enrolled 5–10 after stroke to 20 mg fluoxetine or placebo QD x 3 mo

Baseline NIHSS = 13, but severe weakness

Primary endpoint outcome: Larger Fugl-Meyer score change with fluoxetine (34 vs. 24 points,  $p=0.003$ )

Also: significant effect for mRS ( $\% \leq 2$ ) but not NIHSS ( $\% \leq 5$ )

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# Unmet need: delivery of large doses of rehab therapy

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Motor deficits are a major contributor to post-stroke disability.

Animal studies with favorable plasticity use high rehab doses.  
(600 repetitions of pellet retrieval/day, Nudo 1996)

In humans, higher rehab therapy doses may improve outcomes.

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Quantity of rehab therapy often low in humans, however:

- (1) financial constraints
- (2) patient can't travel to a rehab therapy provider
- (3) shortage of rehabilitation care in some regions
- (4) poor patient compliance with assignments
- (5) limited dose during stroke rehabilitation

(mean of 32 arm repetitions/session, Lang 2009)



# Issues with quantity of rehab therapy after stroke

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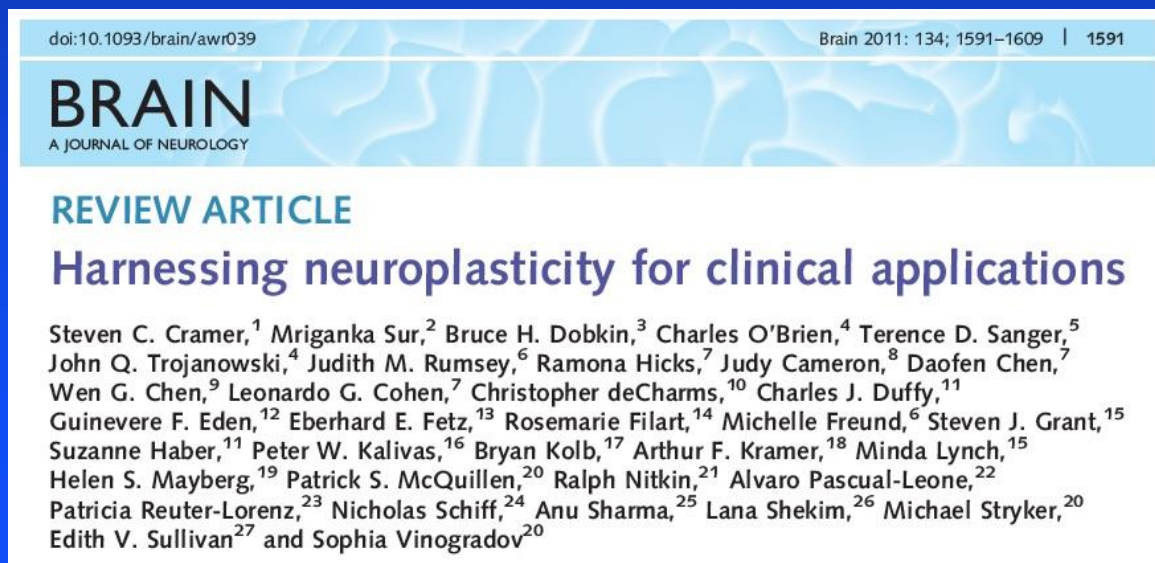
## Observation of Amounts of Movement Practice Provided During Stroke Rehabilitation

*Catherine E. Lang, PT, PhD, Jillian R. MacDonald, DPT, Darcy S. Reisman, PT, PhD, Lara Boyd, PT, PhD, Teresa Jacobson Kimberley, PT, PhD, Sheila M. Schindler-Ivens, PT, PhD, T. George Hornby, PT, PhD, Sandy A. Ross, PT, DPT, Patricia L. Scheets, PT, DPT*

During inpatient or outpatient stroke rehabilitation, the mean # functional UE repetitions per session was 32.

Quality of rehab also important; greater plasticity when a task is

- (1) challenging and varied
- (2) accompanied by appropriate feedback
- (3) motivating and goal-oriented
- (4) interesting
- (5) environmentally and ecologically relevant



Cramer et al, Brain. 2011;134: 1591-1609.

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We reasoned that telerehabilitation is ideally suited to efficiently provide a large dose of useful rehab therapy after stroke.

# Pilot Study Of Home-Based Telerehabilitation After Stroke

## The team includes

Lucy Dodakian, MA, OTR/L

Alison McKenzie, PT, DPT, PhD

Walt Scacchi, PhD

Erin Burke

Renee Augsberger, OTR/L, MHA, C/NDT

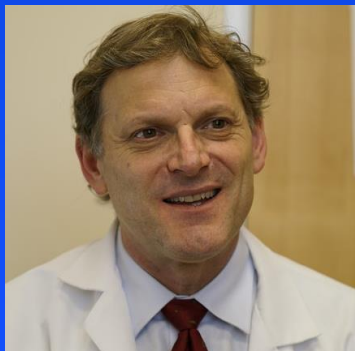
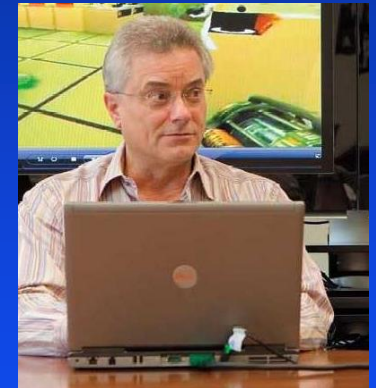
Jutta Heckhausen, PhD

Vu Le, MS

Jill See, MPT

Robert Zhou

Steve Cramer, MD



# Pilot Study Of Home-Based Telerehabilitation After Stroke

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## Eligibility

Patients had to be 3-6 months post-stroke; could have mild, moderate, or severe arm weakness (FM score 22-55)

## Treatment

We delivered and assembled our system to their home.

Each subject received 28 days of telerehabilitation.

Each day consisted 1 hour that was required and structured, plus 1 optional hour of free play.

Plus 3 videoconferences per week



# Pilot Study Of Home-Based Telerehabilitation After Stroke

## Today's Itinerary

- ☐ 1. Play the "Piano" game.
- ☐ 2. Play the "Drum" game.
- ☐ 3. Play the "Targetting" game.
- ☐ 4. Play the "Space Invaders" game.
- ☐ 5. Play the "Driving" game.
- ☐ 6. Play the "Plinko" game.
- ☐ 7. Play the "Blackjack" game.
- ☐ 8. Play the "Slots" game.
- ☐ 9. Play the "Poker" game.
- ☐ 10. Play the "Carnival Shooting" game.
- ☐ 11. Play the "Duck Hunt" game.
- ☐ 12. Play the "Simon" game.
- ☐ 13. Play the "Mimic" game.
- ☐ 14. Play the "Put It There" game.
- ☐ 15. Play the "Memory" game.



# Pilot Study Of Home-Based Telerehabilitation After Stroke

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## Compliance was excellent

Subjects engaged in therapy 329 of 336 (97.9%) assigned days.

## Improved arm movement

FM score started at  $39 \pm 12$  (range 23-55), increased by  $4.8 \pm 3.8$  points ( $p=0.0015$ ); met *clinically important difference* in 6 of 12.

## Findings not dependent on computer skills

Computer literacy scores declined with age ( $r = -0.92$ ,  $p < 0.0001$ ), but were not related to arm motor gains or to home compliance.

## Holistic care in parallel

- Daily education increased stroke knowledge by 39% ( $p=0.001$ )
- Videoconference screen detected depression in 3/12 patients
- Home BP measurement validated ( $r = 0.99$ ;  $p < 0.0001$ )

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Average of 24,607 arm repetitions over 28 days

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# Telerehabilitation in the Home Versus Therapy In-Clinic for Patients With Stroke

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124 subjects with stroke 4-36 weeks prior and arm motor deficits

Randomized at 11 US sites to intensive arm motor therapy

- (a) traditional In-Clinic, versus
- (b) in-home Telerehabilitation

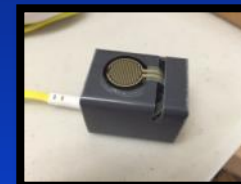
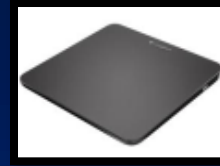
## Treatment

36 sessions (18 superv'd, 18 unsuperv'd), 70 min, over 6-8 wk  
Intensity, duration, and frequency of therapy matched

Assessor-blind, randomized, non-inferiority design

[clinicaltrials.gov NCT02360488](https://clinicaltrials.gov/NCT02360488)





FDA: non-significant risk device study

[clinicaltrials.gov NCT02360488](https://clinicaltrials.gov/NCT02360488)

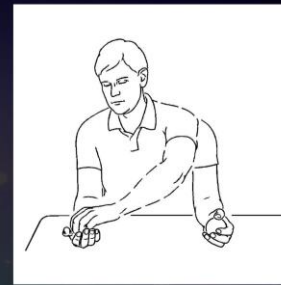


# Telerehabilitation

Diet	Stroke Facts	Stroke Risk Factors	Effects of Stroke	Exercise
\$1000	\$1000	\$1000	\$1000	\$1000
\$2000	\$2000	\$2000	\$2000	\$2000
\$3000	\$3000	\$3000	\$3000	\$3000
\$4000	\$4000	\$4000	\$4000	\$4000
\$5000	\$5000	\$5000	\$5000	\$5000

## Transfer Object

Grasp and hold object with one hand. Transfer object to other hand. Reverse. Use objects of different shapes, sizes and weight.



In the past week of arm-related therapy you have been doing as part of this research study, how satisfied are you with the therapy?

I find the tasks/games:

Very displeasurable 1 2 3 4 5 6 7 Very pleasurable

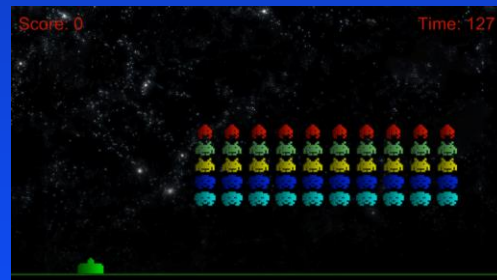
Score: 5

Time: 125



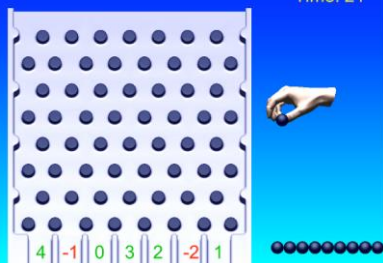
Score: 0

Time: 127



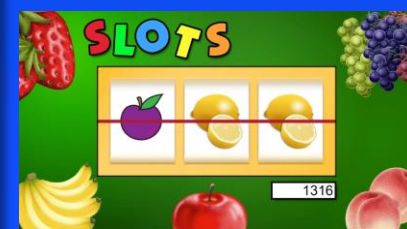
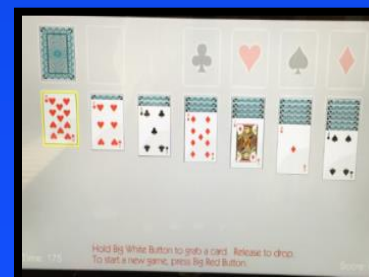
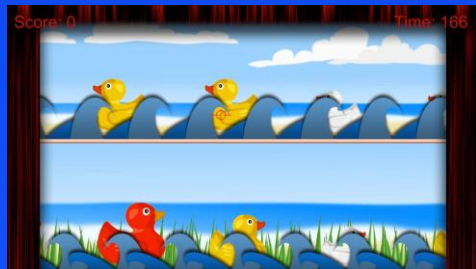
Score: 8

Time: 21



Score: 0

Time: 166



# Results

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# Telerehabilitation in the Home Versus Therapy In-Clinic for Patients With Stroke

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University of California, Irvine  
Kessler Institute for Rehabilitation  
Case Western Reserve University  
Burke Medical Research Institute  
University of California, San Diego  
Brooks Rehabilitation  
Northwestern University  
University of Washington  
Medical University of South Carolina  
Harvard University  
Emory University

[clinicaltrials.gov NCT02360488](https://clinicaltrials.gov/NCT02360488)



# Main points

- Spontaneous recovery after stroke
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- Variability in response to restorative stroke therapies

# Stroke

*Origin:*

1250–1300; Middle English *strok*, *strak* (noun), probably continuing Old English *strāc*

# Stratifying Patients With Stroke in Trials That Target Brain Repair

Steven C. Cramer, MD

**Abstract**—A number of therapies are emerging that have the potential to reduce poststroke disability by promoting repair. Careful evaluation of patients with stroke might help distinguish those who are most likely to respond to a restorative therapy from those who lack biological substrate needed to achieve gains. Potential approaches to such stratification are considered, including measures of brain injury or of poststroke brain function. (*Stroke*. 2010;41[suppl 1]:S114-S116.)

- Study power
- Sample size
- Study duration
- Number of sites



# Many factors can affect the outcome after stroke

---

pre-stroke disability

genetics

age

handedness

medical co-morbidities

initial and final deficits

injury: location, side,  
mechanism, volume

brain function

acute stroke interventions

time post-stroke

post-stroke depression

medications ( + and - )

caregiver, social factors

quantity, quality, and timing  
of post-stroke therapy

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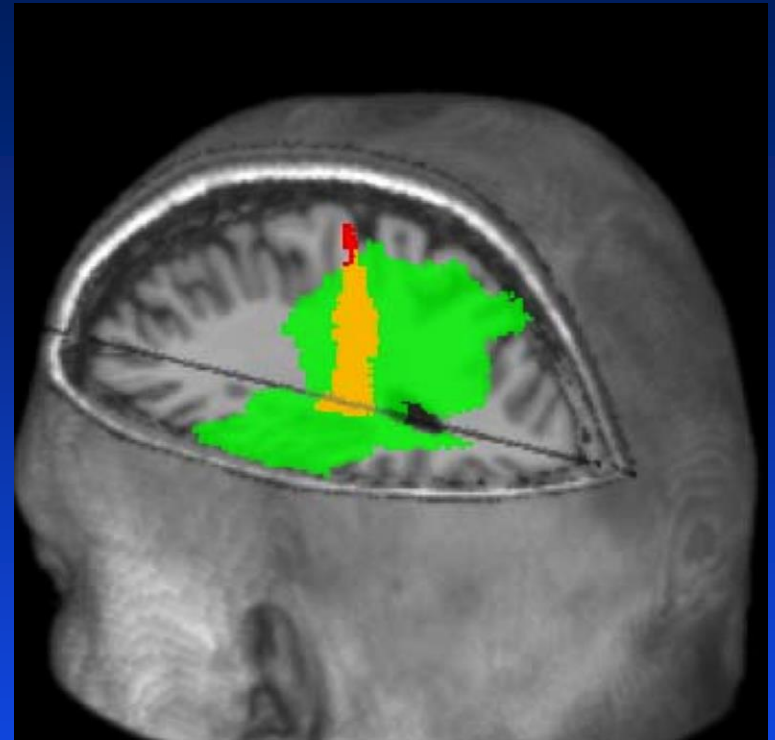
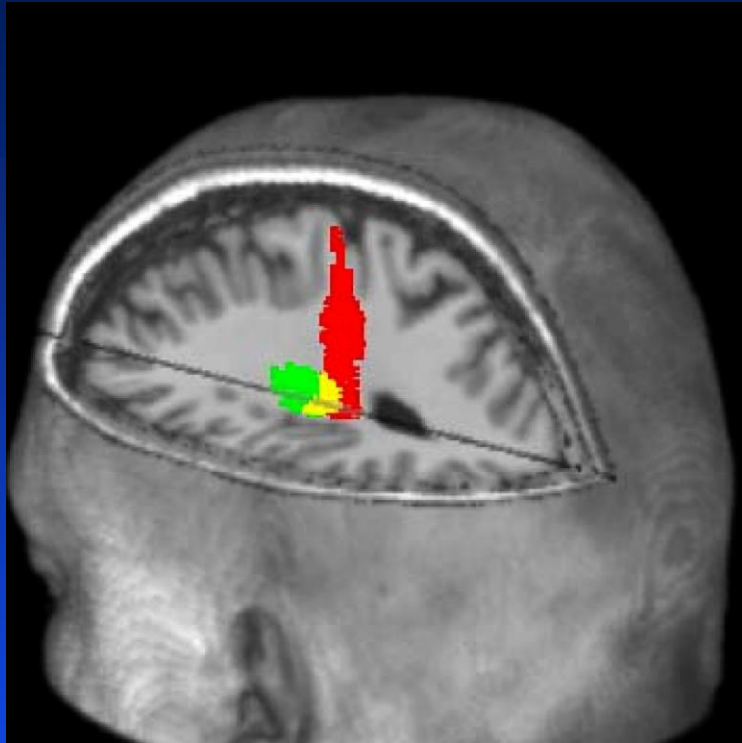
medications ( + and - )

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# Brain injury predicts gains in a clinical trial

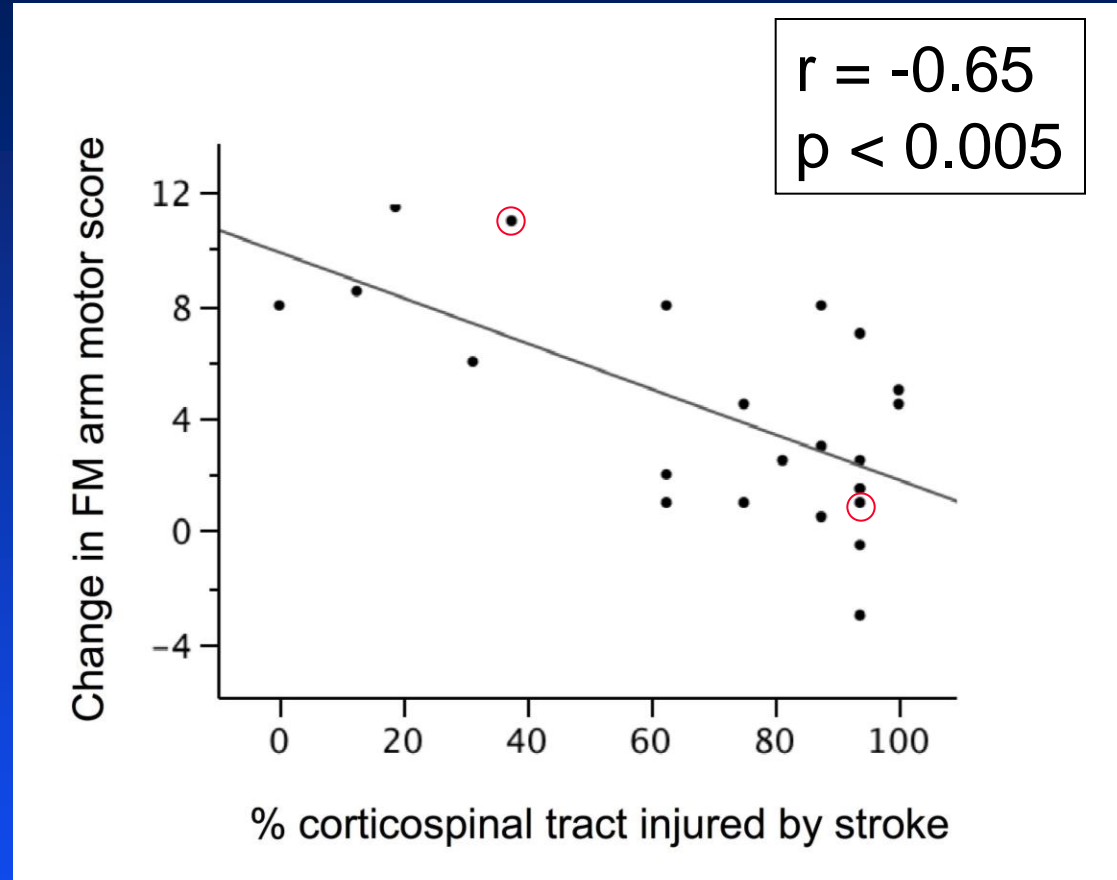
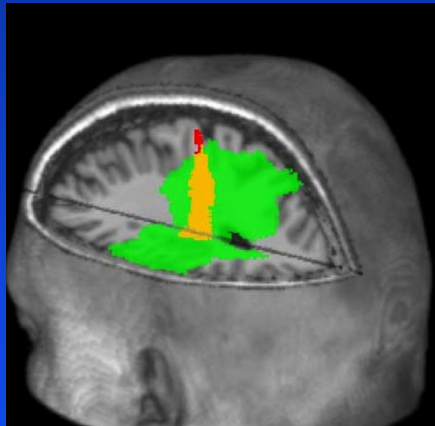
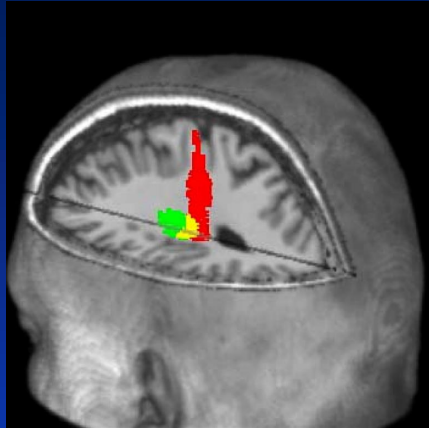
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- Corticospinal tract (M1)--uninjured
- Corticospinal tract (M1)--injured by stroke
- Stroke

Measuring extent of corticospinal tract injury to stratify patients

# Brain injury predicts gains in a clinical trial



Extent of injury to this key wire bundle predicted treatment gains  
(better than global injury, baseline behavior, demographics, etc)

# Dense array EEG



256 leads

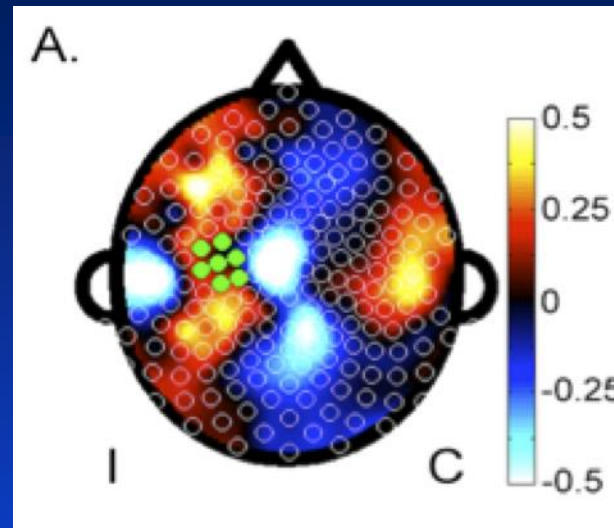
Data collection feasible in ER, ICU, rehab unit, etc

From “hello” to start data collection in 5 minutes

Current methods require only 3 minutes of data collection

# Brain function predicts gains from 4 wks telerehabilitation

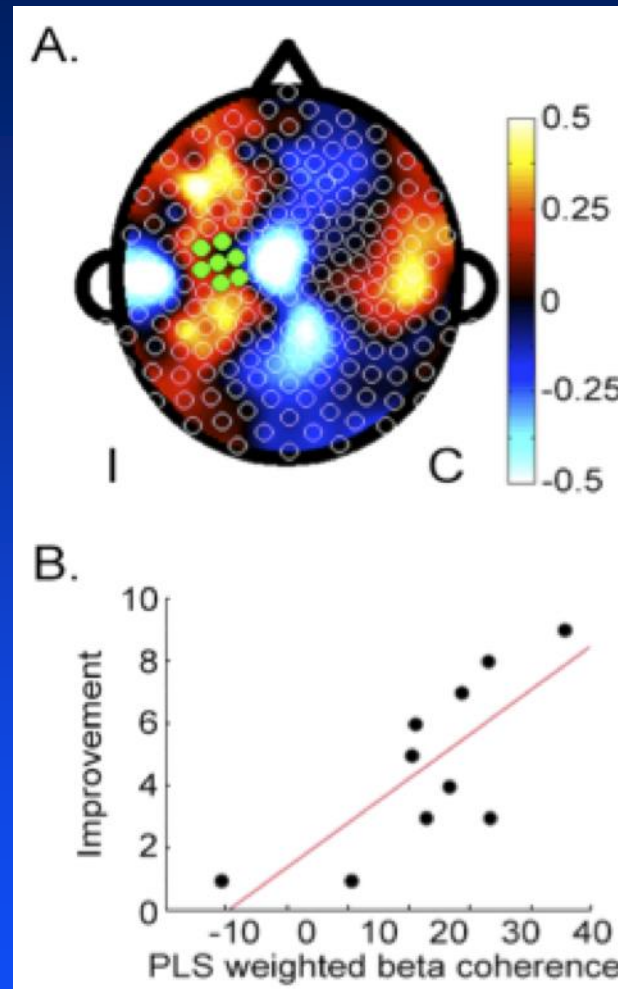
PLS model predicting  
UE-FM score change



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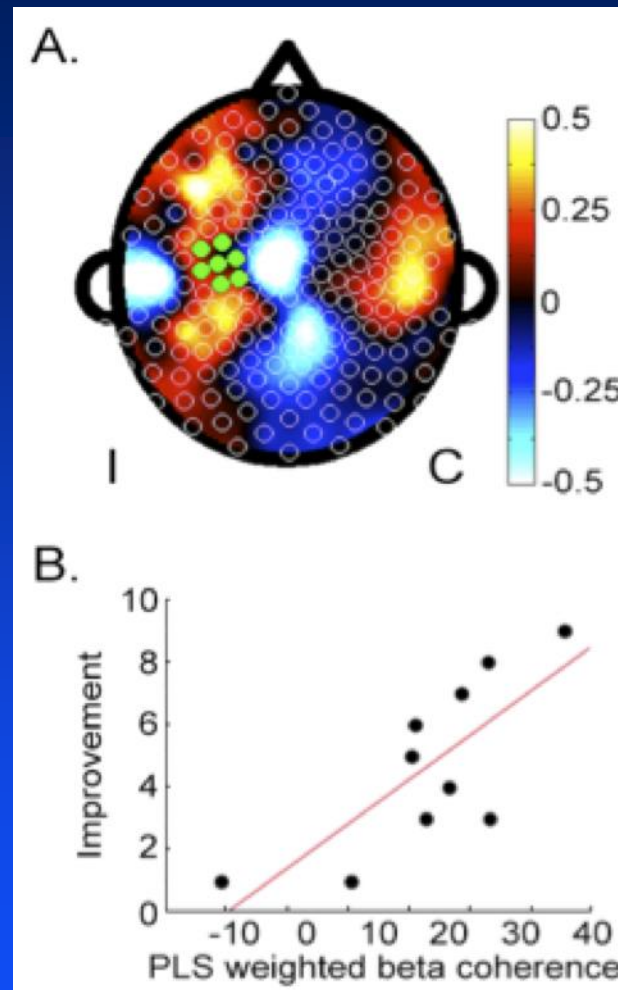


$r^2=0.61$   
 $p=0.0099$

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PLS model predicting  
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3 minutes of resting dense array EEG:  
a rapid, inexpensive, easy, bedside, safe test of brain function



# Polygene score

---

Most genetic effects have RR in range of 1.1-1.4; effect of any single gene is generally small--ApoE is a major exception.

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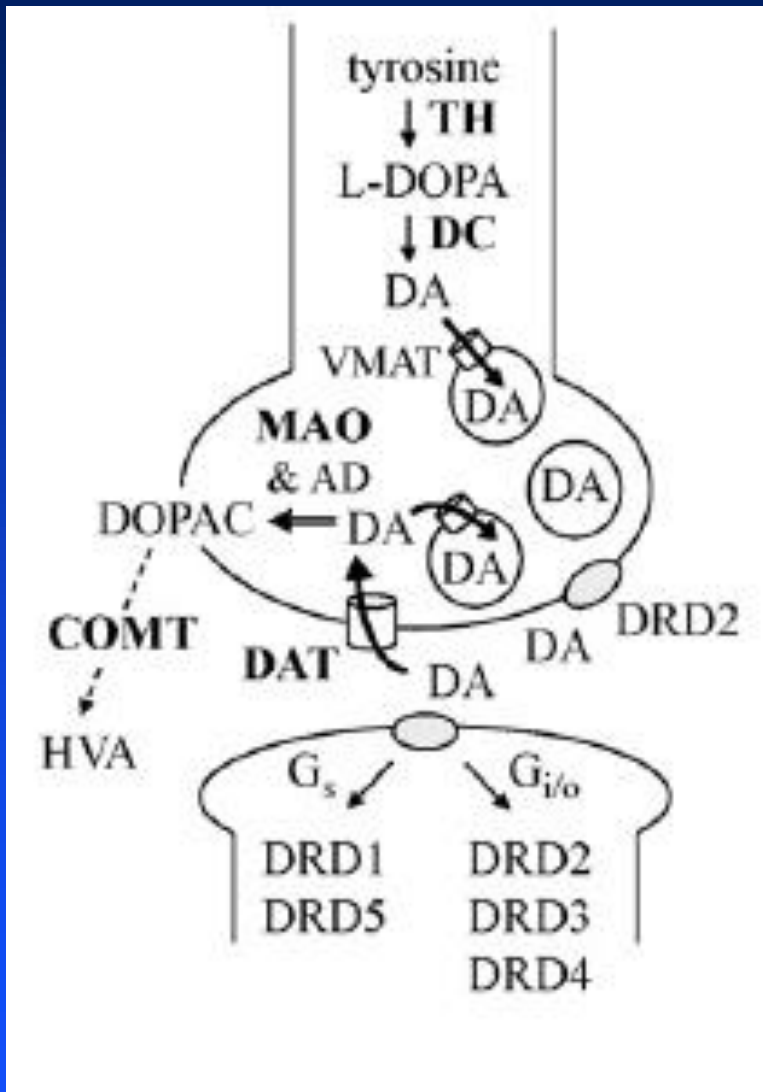
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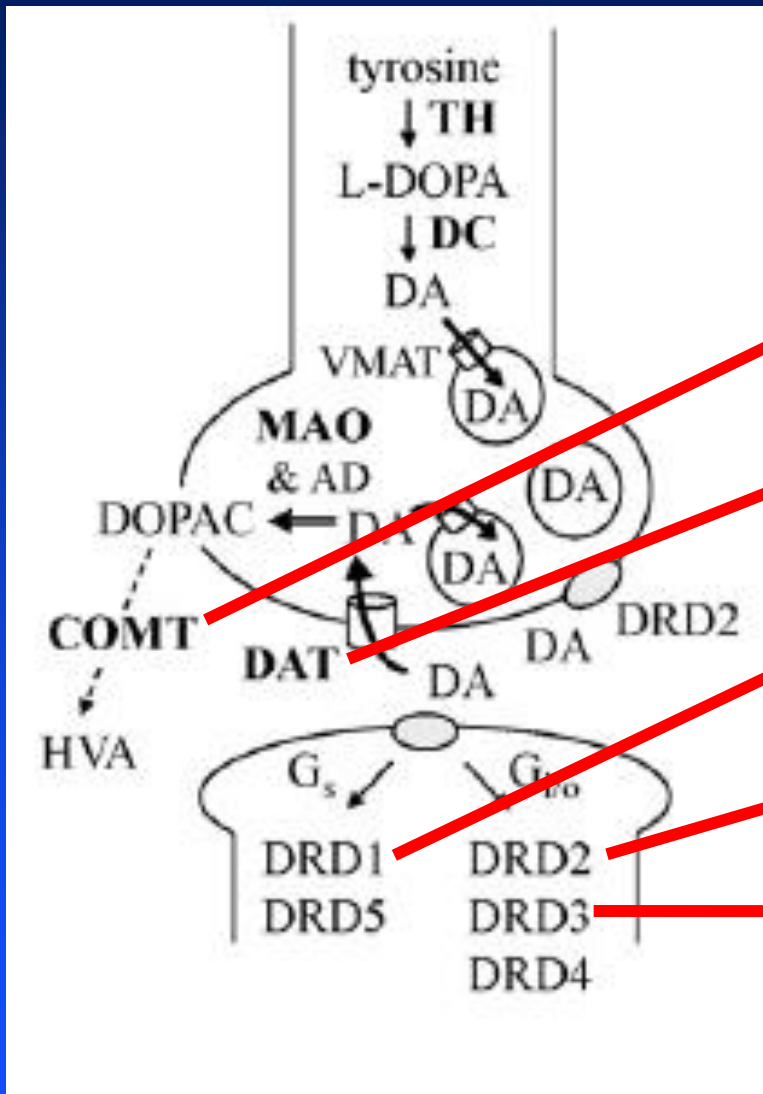
Example: in a study of 5 SNPs associated with prostate cancer, risk of disease associated with increasing # risk alleles:

OR = 1.6 with risk allele at 1 SNP, OR = 4.5 with 4 risk alleles

# The many proteins of the dopamine system



# The many proteins of the dopamine system



rs4680

rs28363170

rs4532

rs1800497

rs6280

# Dopamine gene score

---

Constructed a gene score based on the genotype of 5 biologically active polymorphisms related to dopamine

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Hypothesized subjects with lower dopamine neurotransmission would have

- less learning
- greater boost in learning with L-Dopa
- more depression
- poorer impulse control, greater improvement with Ropinirole

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# Genetic Variation in the Human Brain Dopamine System Influences Motor Learning and Its Modulation by L-Dopa

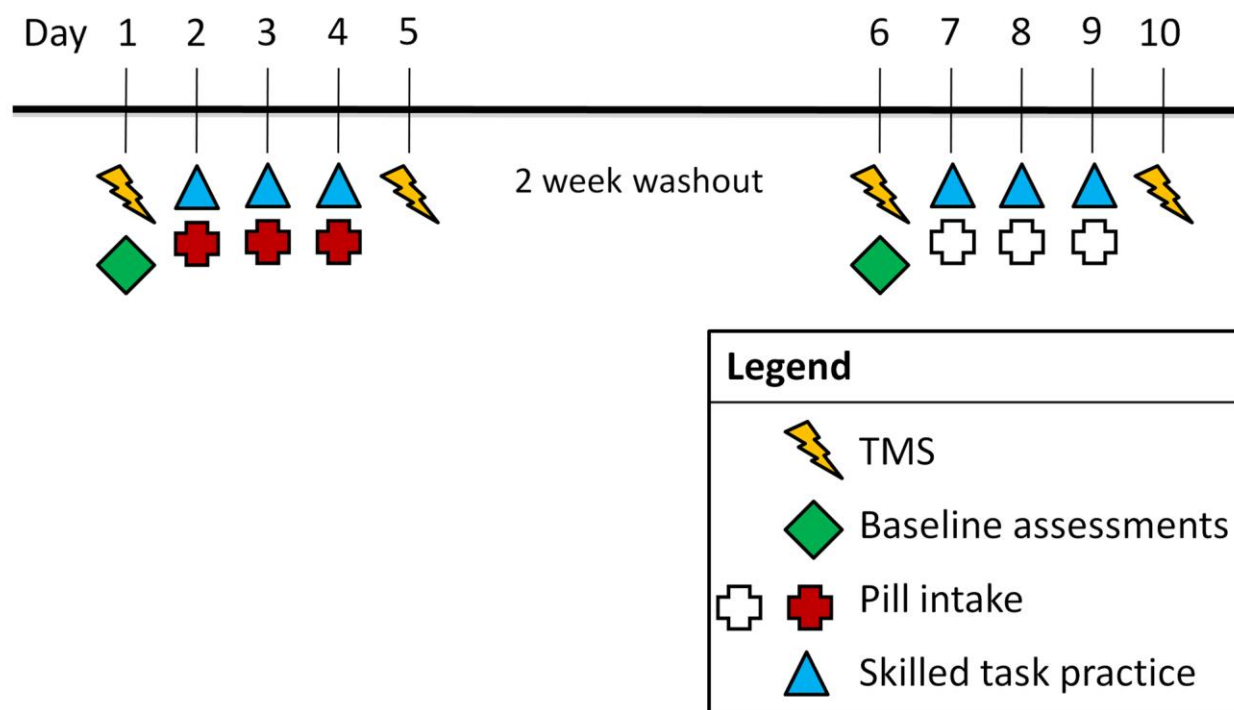
**Kristin M. Pearson-Fuhrhop<sup>1</sup>, Brian Minton<sup>1</sup>, Daniel Acevedo<sup>1</sup>, Babak Shahbaba<sup>2</sup>, Steven C. Cramer<sup>1,3\*</sup>**

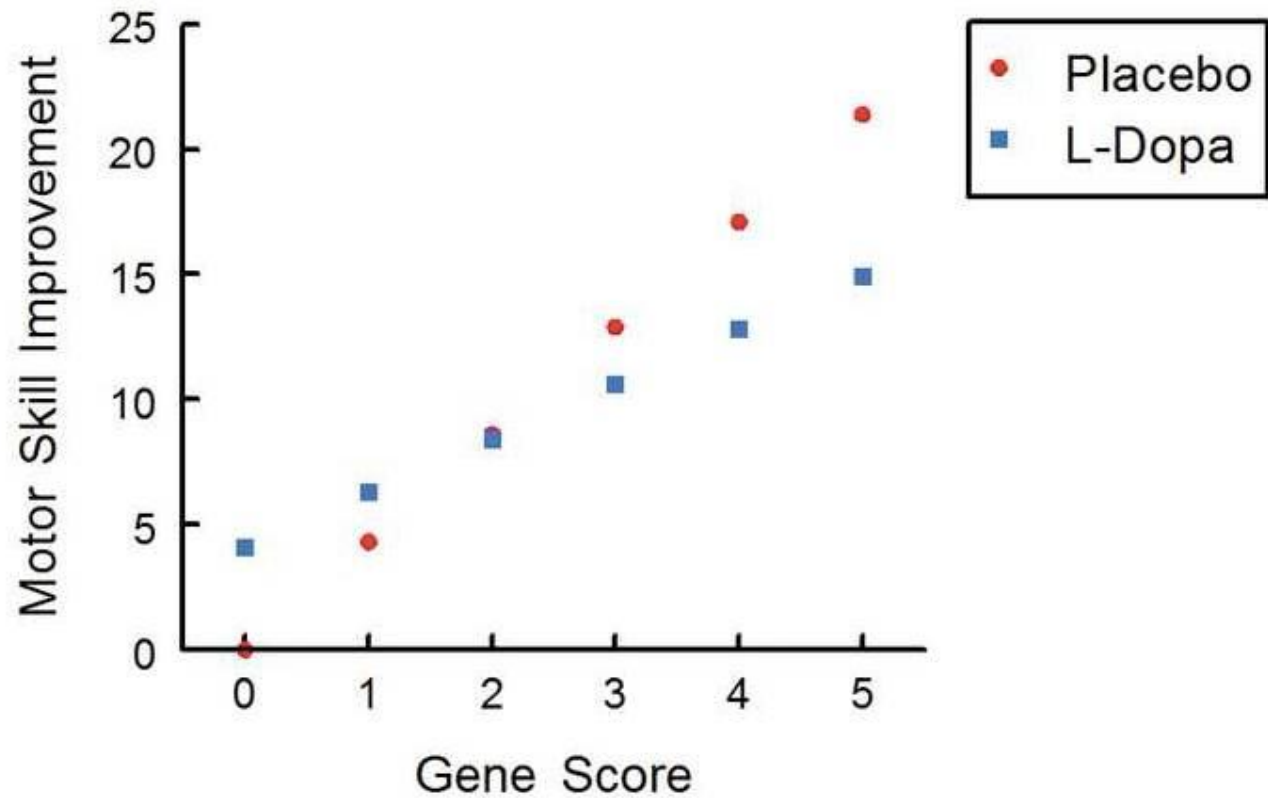
**1** Department of Anatomy & Neurobiology, University of California Irvine, Irvine, California, United States of America, **2** Department of Statistics, University of California Irvine, Irvine, California, United States of America, **3** Department of Neurology, University of California Irvine, Irvine, California, United States of America

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<sup>1</sup> Department of Anatomy & Neurobiology, University of California Irvine, Irvine, California, United States of America, <sup>2</sup> Department of Statistics, University of California Irvine, Irvine, California, United States of America, <sup>3</sup> Department of Neurology, University of California Irvine, Irvine, California, United States of America



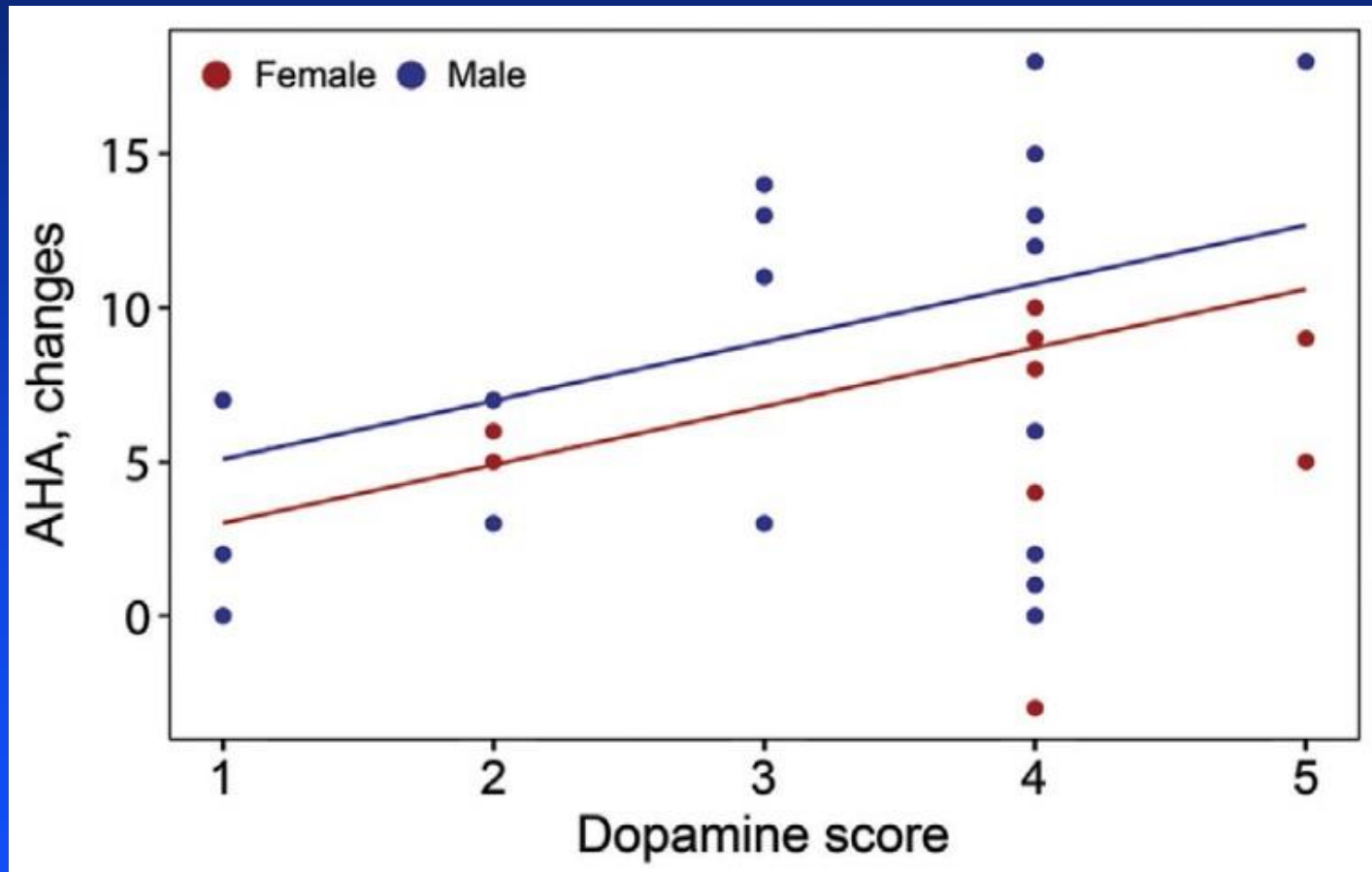


# Genetic Variation in the Dopamine System Influences Intervention Outcome in Children with Cerebral Palsy

Rochellys Diaz Heijtz<sup>a</sup>, Rita Almeida<sup>a</sup>, Ann Christin Eliasson<sup>b</sup>, Hans Forssberg<sup>b,\*</sup>

<sup>a</sup> Department of Neuroscience, Karolinska Institutet, Stockholm, Sweden

<sup>b</sup> Department of Women's and Children's Health, Karolinska Institutet, Astrid Lindgren Children's Hospital, Stockholm, Sweden



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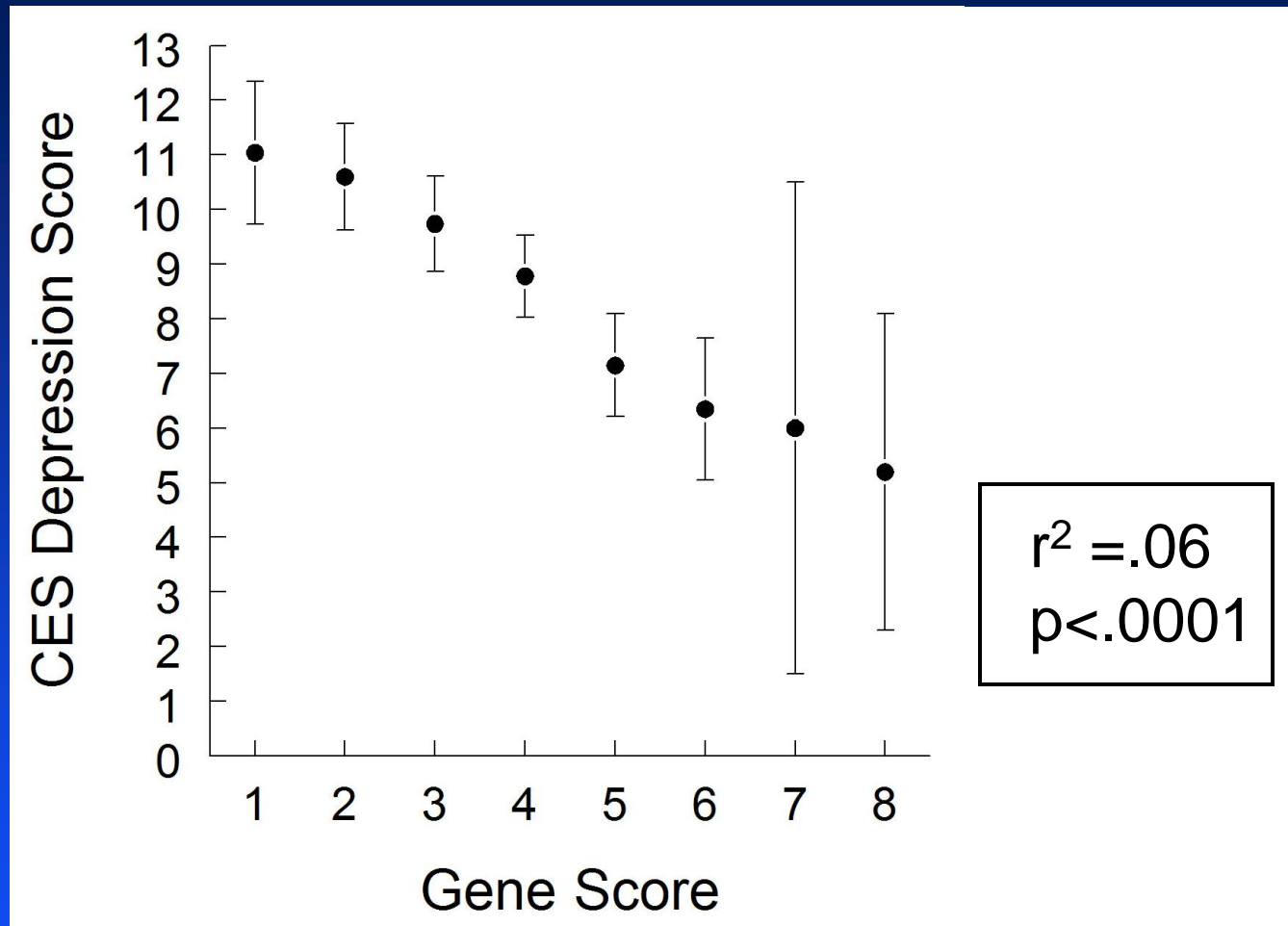
OPEN ACCESS Freely available online



## Dopamine Genetic Risk Score Predicts Depressive Symptoms in Healthy Adults and Adults with Depression

Kristin M. Pearson-Fuhrhop<sup>1,9</sup>, Erin C. Dunn<sup>2,3,4,9</sup>, Sarah Mortero<sup>1</sup>, William J. Devan<sup>2</sup>, Guido J. Falcone<sup>2</sup>, Phil Lee<sup>2,3,4</sup>, Avram J. Holmes<sup>3,5</sup>, Marisa O. Hollinshead<sup>6</sup>, Joshua L. Roffman<sup>3</sup>, Jordan W. Smoller<sup>2,3,4</sup>, Jonathan Rosand<sup>2,7,8</sup>, Steven C. Cramer<sup>1,9\*</sup>

# Dopamine gene score and depression



Lower dopamine gene scores, i.e. lower dopamine neurotransmission, associated with greater depression scores.

# Main points

- Spontaneous recovery after stroke
- Therapies to improve recovery--brain repair
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# Brain Repair After Stroke

Steven C. Cramer, MD

Professor, Depts. Neurology, Anatomy & Neurobiology, and PM&R  
Associate Director, Institute for Clinical & Translational Science  
Co-PI, NIH StrokeNet (Recovery & Rehabilitation)

University of California, Irvine